

12/16/97



JCS50 U.S. PTO

Please type a plus sign (+) inside this box → ☒Approved for use through 09/30/00. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	FINKL 183 US	Total Pages	18
	First Named Inventor or Application Identifier			
	Algirdas A. Underys			
	Express Mail Label No.	EI529676309US		

<b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning utility patent application contents.</small>	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
--	---

<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form <i>(Submit an original, and a duplicate for fee processing)</i></p> <p>2. <input checked="" type="checkbox"/> Specification [Total Pages <u>8</u>] <i>(preferred arrangement set forth below)</i></p> <ul style="list-style-type: none"><li>- Descriptive title of the invention</li><li>- Cross References to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to Microfiche Appendix</li><li>- Background of the invention</li><li>- Brief Summary of the invention</li><li>- Brief Description of the Drawings <i>(if filed)</i></li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul> <p>3. <input type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets <input type="text"/></p> <p>4. Oath or Declaration [Total Pages <input ]<="" p="" type="text"/><p>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</p><p>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 17 completed)</i> <i>(Note Box 5 below)</i></p><p>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p><p>5. <input type="checkbox"/> Incorporation By Reference <i>(useable if Box 4b is checked)</i> The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p></p>	<p>6. <input type="checkbox"/> Microfiche Computer Program <i>(Appendix)</i></p> <p>7. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i></p> <p>a. <input type="checkbox"/> Computer Readable Copy</p> <p>b. <input type="checkbox"/> Paper Copy (identical to computer copy)</p> <p>c. <input type="checkbox"/> Statement verifying identity of above copies</p>
---	--

**ACCOMPANYING APPLICATION PARTS**

8. ☒ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney  
*(when there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
14. ☒ Small Entity ☐ Statement filed in prior application, Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
16. ☐ Other: .....

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_/\_\_\_\_\_**18. CORRESPONDENCE ADDRESS**☐ Customer Number or Bar Code Labelor ☒ Correspondence address below*(Insert Customer No. or Attach bar code label here)*

NAME	James G. Staples				
	A. Finkl & Sons Co.				
ADDRESS	2011 North Southport Avenue				
	Chicago				
CITY	Chicago	STATE	IL	ZIP CODE	60614
COUNTRY	USA	TELEPHONE	(773) 975-2500	FAX	(773) 975-2636

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

## TRANSMITTAL OF UTILITY PATENT APPLICATION FOR FILING

c Honorable Commissioner of Patents and Trademarks  
Washington, DC 20231

Dear Sir:

Transmitted herewith for filing is the utility patent application of inventor(s):

Algirdas A. Underys

entitled: "HEAT TREATMENT METHOD & APPARATUS"

JCS60 U.S. PTO  
08/991113  
12/16/97

1. Enclosed are:

- ☒ A duplicate copy of this transmittal letter.
- ☒ One stamped, self-addressed postcard for the PTO Mail Room date stamp.
- ☒ One utility patent application, pages 1 - 8, and
- ☒ A declaration or oath for the utility patent application including a power of attorney, and
- ☒ sheets of informal drawings. (There are no formal drawings in this application.)
- ☐ A certified copy of a application, No. .
- ☐ An Associate Power of Attorney.
- ☒ An Assignment of the invention to A. Finkl & Sons Co.
- ☐ A document listing certain art.
- ☒ A Verified Statement to Establish Small Entity Status under 37 CFR 1.9 and 37 CFR 1.27.
- ☐ Other: n/a

2. The filing fee has been calculated as shown below:

OTHER THAN A LARGE ENTITY

	(Col. 1)	(Col. 2)	SMALL ENTITY			LARGE ENTITY	
FOR:	No. Filed	No. Extra	Rate	Fee		Rate	Fee
BASIC FEE	n/a	n/a	n/a	\$395.00	OR	n/a	\$00.00
TOTAL CLAIMS	14-20 =	0	0	0	OR		\$00.00
INDEPENDENT CLAIMS	2-03 =	0	0	0	OR		\$00.00
MULTIPLE DEPENDENT CLAIMS PRESENTED					OR		\$00.00
*If the difference in Col. 1 is less than zero, enter "0" in Col.2			TOTAL	\$395.00	OR	TOTAL	\$00.00

- ☐ Please charge my Deposit Account No. 02-0400 in the amount of \_\_\_\_\_ to cover filing fees. When identifying this amount, please use our Attorney Docket No. \_\_\_\_\_.
- ☐ Please charge my Deposit Account No. 02-0400 in the amount of \_\_\_\_\_ to cover assignment recordal fees. When identifying this amount, please use our Attorney Docket No. \_\_\_\_\_.
- ☒ A check in the amount of 395.00 is enclosed to cover filing fees.
- ☒ A check in the amount of 40.00 is enclosed to cover recordal fees.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 02-0400.
  - ☒ Any additional filing fees required under 37 CFR 1.16.
  - ☒ Any patent application processing fees under 37 CFR 1.17.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 02-0400.
  - ☒ Any patent application processing fees under 37 CFR 1.17.
  - ☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
  - ☒ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

3. This utility patent application is related to: \_\_\_\_\_

Attorney's Signature: James G. Staples Dec. 15, 1997

James G. Staples, Reg. No. 19,013

date

A. FINKL & SONS Co.  
2011 North Southport Avenue  
Chicago, IL 60614